

LLOYD'S OF LONDON

SUPPLEMENT **11**

COPYRIGHT, PATENT AND TRADEMARK ENDORSEMENT

Firm Name:_____

Policy Number:

Answers are required for questions 1 through 5 for all Applicants. Complete the remaining questions that apply to the firm' specific practice. If one or more of the sections is not applicable, check "Not Applicable" option in that section.

1. Provide a breakdown of the firm's copyright, patent and trademark practice into the following categories:

	 a. Intellectual Property Litigation b. Patent Infringement Counseling c. Domestic Patent Prosecution d. Foreign Patent Prosecution e. Trademark Registration/ Licensing f. Copyright Registration/Licensing g. Patent Searches 	% % % % % % % % % % %
COPYRIGHT	Not Applicable	

2. Does the firm's docket system include dates for:

- a. Copyright renewal filingYesNob. responses to an Office ActionYesNoc. infringement action filingYesNo
- 3. What is the firm' standard time frame for applying for copyright registration on behalf of their client, once to do so by the client?
- 4. Is transfer of ownership of copyright from one client to another fully documented in writing ____Yes ___No

PATENT		Not Applicable
	PATENT	PATENT

5.	Does the firm request written disclosure of specific dates of all printed Publications, sales, offers, for sale and/or public use of the Intellectual Property from a client, prior of a patent applicable?	Yes	No
6.	Does the firm request in writing, from all patent clients, the client's intent to pursue or not to pursue a foreign patent application?	Yes	No
7.	Does the firm request in writing, from all patent clients, the client disclosure of patent applications filed in foreign countries?	Yes	No
8.	Does the firm advise foreign clients of requirements needed to satisfy the Establishment of the date of invention of U.S. Patents?	Yes	No
9.	Does the firm disclose in writing to all patent clients, all dates for Payment of annual payments, maintenance fees, or annuities to be paid by the client to keep an application or patent in force?	Yes	No
10.	Does the firm advise the client in writing to mark the patent product with the appropriate patent number?	Yes	No
11.	Indicate the percentage of the types of Patent Opinions rendered by the firm: a. Patentability% b.Infringement% c. Validity%		
12.	For the types of patent opinions rendered, does the firm disclosure the Scope and extent of the search conducted that is the basis for the opinion?	Yes	No
13.	Does the firm guarantee patent opinions rendered?	Yes	No
14.	Does the firm disclose in writing to the client and require the client's written agreement regarding patent applications and strategies taken or to be taken with respect to the GATT implementation Legislation of June 8, 1995?	Yes	No

TRADEMARK _____ Not Applicable

15.	Does the firm docket system advise regarding date for: a. response to all PTO actions? b. declaration of use after registration? c. statement in incontestability after registration? d. renewal of trademark?	Yes Yes Yes Yes	No No No No
16.	Does the firm: a. perform searches of the records of the PTO for trademarks? b. search common law sources, such as publications and business indices for	Yes	No
. –	existing trademarks?	Yes	No
17.	Does the firm advise that trademark search is not guaranteed against all common law sources?	Yes	No
18.	Is transfer of ownership of trademark from one entity to another fully documented in writing?	Yes	No
19.	Are all trademark assignments promptly and properly recorded with the PTO?	Yes	No
20.	Does the firm advise the client in writing of the use of proper trademark notice?	Yes	No

X___

Signature of Owner, Partner or Principal

Title

Date