



LLOYD'S OF LONDON

SUPPLEMENT 11

COPYRIGHT, PATENT AND TRADEMARK ENDORSEMENT

Firm Name: _____ Policy Number: _____

Answers are required for questions 1 through 5 for all Applicants. Complete the remaining questions that apply to the firm's specific practice. If one or more of the sections is not applicable, check "Not Applicable" option in that section.

1. Provide a breakdown of the firm's copyright, patent and trademark practice into the following categories:

- a. Intellectual Property Litigation _____ %
- b. Patent Infringement Counseling _____ %
- c. Domestic Patent Prosecution _____ %
- d. Foreign Patent Prosecution _____ %
- e. Trademark Registration/ Licensing _____ %
- f. Copyright Registration/Licensing _____ %
- g. Patent Searches _____ %

COPYRIGHT _____ **Not Applicable**

2. Does the firm's docket system include dates for:

- a. Copyright renewal filing ___ Yes ___ No
- b. responses to an Office Action ___ Yes ___ No
- c. infringement action filing ___ Yes ___ No

3. What is the firm's standard time frame for applying for copyright registration on behalf of their client, once to do so by the client? _____

4. Is transfer of ownership of copyright from one client to another fully documented in writing ___ Yes ___ No

PATENT

_____ **Not Applicable**

5. Does the firm request written disclosure of specific dates of all printed Publications, sales, offers, for sale and/or public use of the Intellectual Property from a client, prior of a patent applicable? ___Yes ___No
6. Does the firm request in writing, from all patent clients, the client's intent to pursue or not to pursue a foreign patent application? ___Yes ___No
7. Does the firm request in writing, from all patent clients, the client disclosure of patent applications filed in foreign countries? ___Yes ___No
8. Does the firm advise foreign clients of requirements needed to satisfy the Establishment of the date of invention of U.S. Patents? ___Yes ___No
9. Does the firm disclose in writing to all patent clients, all dates for Payment of annual payments, maintenance fees, or annuities to be paid by the client to keep an application or patent in force? ___Yes ___No
10. Does the firm advise the client in writing to mark the patent product with the appropriate patent number? ___Yes ___No
11. Indicate the percentage of the types of Patent Opinions rendered by the firm:
a. Patentability _____%
b .Infringement _____%
c. Validity _____%
12. For the types of patent opinions rendered, does the firm disclosure the Scope and extent of the search conducted that is the basis for the opinion? ___Yes ___No
13. Does the firm guarantee patent opinions rendered? ___Yes ___No
14. Does the firm disclose in writing to the client and require the client's written agreement regarding patent applications and strategies taken or to be taken with respect to the GATT implementation Legislation of June 8, 1995? ___Yes ___No

